

# **REVISED OPERATIONAL GUIDELINES OF THE SCHEME FOR INTEGRATED COLD CHAIN AND VALUE ADDITION INFRASTRUCTURE (effective from 29.08.2016)**

## **1. Background**

The Scheme for Integrated Cold Chain, Value Addition and Preservation Infrastructure was launched during 2008 and the original guidelines were notified on 18.03.2010. Based on feedback and consultations with stakeholders, the scheme guidelines were modified on 20.11.2013 and subsequently on 08.08.2014 for the purpose of smooth implementation and to achieve the objective of the scheme. Based on further feedback and experience of implementation of the scheme, the guidelines are further revised with immediate effect. These revised guidelines will be applicable to Expression of Interest (EOI) issued by the Ministry for taking up new Integrated Cold Chain Projects prospectively.

## **2. Objective**

The objective of the scheme is to provide integrated cold chain, preservation and value addition infrastructure facilities without any break, from the farm gate to the consumer in order to reduce post-harvest losses of horticulture and non-horticulture agri-produce. This will enable linking groups of producers to processors and market through a well equipped supply chain and cold chain, thereby ensuring remunerative prices to farmers and year-round availability of food products to consumers.

### **2.1 Eligible organizations/entities**

Integrated cold chain and value addition infrastructure projects can be set up by Partnership/Proprietorship Firms, Companies, Corporations, Cooperatives, Self Help Groups (SHGs), Farmer Producer Organizations (FPOs), NGOs, Central/State PSUs, etc. with business interest in cold chain solutions and also by those who manage supply chain.

## **3. Components of the Scheme**

**3.1** The scheme allows flexibility in project planning with special emphasis on creation of cold chain infrastructure at farm level. The scheme will have the following project components:

- a)** Farm Level Infrastructure, which may include processing center, situated in the catchment area of the targeted produce. This shall have one or more of the facilities mentioned in sub-para 3.2 (i to x) below. In addition, it may also have one or more of the facilities mentioned in sub-para 3.2 (xiii to xxi) below.

For projects in the fruits & vegetables sector, farm level infrastructure shall include at least one of the facilities listed at sub-para 3.2 (i), (iii) & (xiii).

- b) Distribution hub - This shall have a modern multi-product, multi-temperature cold storage. It may also include one or more of the facilities mentioned in sub-para 3.2 below depending upon the business plan of the project.
- c) Refrigerated vans/ refrigerated trucks/ insulated vans/mobile insulated tankers.
- d) Irradiation facility.

**To avail financial assistance under this scheme, the applicant will have to set up Farm Level Infrastructure as mentioned at (a) above and any one or both of the components from (b) and (c) above.**

**Considering the functional nature of the facility, irradiation component will be treated as a standalone facility for the purpose of availing grant under the scheme.**

**3.2 Assistance under the scheme can be availed for creation of the following facilities:**

- i. Integrated Pack-house (with mechanized sorting & grading line/ packing line/ waxing line/ staging cold rooms, etc.)
- ii. Ripening Chamber(s)
- iii. Cold Storage Unit(s)
- iv. Controlled Atmosphere (CA) storage
- v. Frozen Storage/Deep freezers
- vi. IQF line, Tunnel Freezer, Spiral Freezer, Blast Freezer, Plate Freezer
- vii. Vacuum Freeze Drying
- viii. Milk Chilling / Bulk Milk Cooling/ Milk Processing Unit
- ix. Poultry/Meat/Marine/Fishery Processing Unit
- x. Packaging line for chilled /frozen/temperature controlled products
- xi. Food Irradiation unit
- xii. Refrigerated/ Insulated transport
- xiii. Pre Cooling Unit(s)
- xiv. Mobile pre-coolers
- xv. Retail refrigerated carts, temperature controlled solar powered retail carts (maximum 10 numbers to be assisted per project)
- xvi. Reefer boats (maximum 10 numbers to be assisted per project)
- xvii. Refrigerated Containers including multi-modal container units
- xviii. Renewable/ alternate energy technologies (solar, bio-mass, wind etc.) for the project.
- xix. Accessories/support infrastructure/ utilities such as fixed racking system in Cold/CA storage, fork lifts, reach trucks, bins, insulated fish boxes, pallets, dock levellers, mezzanine flooring, ETP, boiler, CIP unit, Depodder, Peeler, Slicer/Dicer, Blancher, sorting/grading line, etc.
- xx. In-house product testing laboratory
- xxi. Any other modern technology for temperature controlled storage, processing, value addition and preservation infrastructure as may be decided by Inter

Ministerial Approval Committee (IMAC)

**Note:** CA Storage should normally be located near production areas. Otherwise, detailed justification to be given in the DPR.

- 3.3 Assistance for refrigerated/insulated transport can be availed either at Farm Level Infrastructure and / or Distribution Hub depending upon the business plan of the project.
- 3.4 Stand alone facilities/component, except irradiation facility will not be considered for assistance under this scheme.
- 3.5 Irradiation facility may also include cold storage, grading and sorting facilities, reefer vans etc. for storage and transportation of raw material and finished products for efficient utilization of the facility.
- 3.6 Both horticultural and non-horticultural produce are eligible for support under this scheme.

**4. Ineligible items:**

- 4.1 The following items will be considered as non-technical civil works and will be considered ineligible for calculation of grant for the project (The list is only indicative and not exhaustive):-

- (a) Compound Wall
- (b) Approach Road/Internal Roads
- (c) Cost of Land and site development
- (d) Administrative Office Building
- (e) Canteen
- (f) Toilets
- (g) Labour Rest Room and quarters for workers
- (h) Septic tank, drainage, etc.
- (i) Security/ Guard Room or enclosure
- (j) Consultancy fee, taxes, etc.
- (k) Other Non-technical civil works not related to cold chain or storage infrastructure

- 4.2 The following items will also not be considered eligible for calculating the grant for the project (The list is only indicative and not exhaustive):-

- (a) Margin money, working capital and contingencies
- (b) Fuel, consumables, spares and stores
- (c) Computers and allied office furniture
- (d) Transport vehicles other than the reefer trucks/vans/refrigerated carrier/insulated vans/milk tankers
- (e) Pre-operative expenses
- (f) Second hand/ old machines
- (g) All types of service charges, carriage and freight charges, etc.

- (h) Expenditure on painting of machinery
- (i) AC ducting, furniture, computers and other items for office.
- (j) Closed Circuit TV Camera and security system related equipment
- (k) Consultancy Fee, Taxes, etc. on plant and machinery.
- (l) Stationery items
- (m) Plant & machinery not directly related to cold chain infrastructure

The Inter-Ministerial Approval Committee (IMAC) will be the final authority to decide on the admissibility of the grant and the eligibility or otherwise of the items for this purpose.

**5. Pattern of assistance:** - The scheme will have two types of pattern of financial assistance:-

- (a) For storage infrastructure including Pack House and Pre cooling unit, ripening chamber and transport infrastructure, grant-in-aid @ 35% for General Areas and @ 50% for North East States, Himalayan States, ITDP Areas & Islands, of the total cost of plant & machinery and technical civil works will be provided.
- (b) For value addition and processing infrastructure including frozen storage/ deep freezers associated and integral to the processing, grant-in-aid @ 50% for General Areas and @ 75% for North East States, Himalayan States, ITDP Areas & Islands, will be provided.
- (c) For irradiation facilities grant-in-aid will be provided @ 50% for General Areas and @ 75% for North East States, Himalayan States, ITDP Areas & Islands.

**Note:**

- (i) Maximum grant-in-aid would be Rs. 10 crore per project.
- (ii) The grant will be provided only in respect of technical civil works and eligible plant & machinery.
- (iii) Cost norms of Mission for Integrated Development of Horticulture (MIDH) issued by Department of Agriculture, Co-operation and Farmers Welfare will be followed wherever available. For facilities not covered under MIDH guidelines, cost norms as determined by the Ministry will be followed.

**6. Pattern of release of grant:**

The grant-in-aid will be released in three installments of 25%, 40% and 35% as per following schedule:-

- (a) **1<sup>st</sup> installment of 25%** of the approved grant under the scheme will be released after ensuring that 25% of the promoter's contribution & 25% of the term loan have been spent on the eligible project cost and after site inspection conducted to ascertain the commensurate physical progress of the project. The promoter shall submit the documents along with the request for 1st Installment within 8 months (10 months in case of North East States, Himalayan States, ITDP Areas and Islands) from the date of issue of the approval letter.

- (b) **2<sup>nd</sup> installment of 40%** of the approved grant under the scheme will be released after ensuring the (i) utilization of first installment of grant released, (ii) 65% of promoter's contribution & 65% of term loan have been spent on the eligible project cost and (iii) after conduct of site inspection to ascertain commensurate physical progress of the project. The promoter shall submit the documents along with the request for 2<sup>nd</sup> Installment within 14 months (18 months in case of North East States, Himalayan States, ITDP Areas and Islands) from the date of issue of the approval letter.
- (c) **3<sup>rd</sup> and final installment of remaining 35%** of the approved grant under the scheme will be released after ensuring the (i) utilization of the second installment of grant released, (ii) 100% of promoter's contribution & 100% of term loan has been invested in the eligible project cost, (iii) the project has achieved completion and commercial operation has started and (iv) after joint inspection by a team consisting of the representatives of MoFPI, NHB or APEDA or DADF, the Bank (which has sanctioned the Term Loan) & PMA to ascertain the completion of the project and start of commercial operation /production. The promoter shall submit the documents along with the request for 3<sup>rd</sup> Installment within 20 months (24 months in case of North East States, Himalayan States, ITDP Areas and Islands) from the date of issue of the approval letter.
- (d) The documents to be submitted by the promoter for the release of 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> installment of the grant are at **Appendix-I**.
- (e) As an incentive to those projects where criteria for release of 2<sup>nd</sup> and 3<sup>rd</sup> installments have been fulfilled by the promoter, both 2<sup>nd</sup> and 3<sup>rd</sup> installments can be released together as one installment. In such cases, the promoter shall submit all the required documents along with utilization certificate for the 1st installment- in a single application - for release of balance 75% of the approved grant. All the formalities and due diligence as stated above for release of 2<sup>nd</sup> and 3<sup>rd</sup> installments shall be carried out before releasing the grant.

## **7. Implementation Schedule and Penalty:**

- 7.1 The implementation schedule for the project would be 20 months in general areas and 24 months in North East States, Himalayan States, ITDP Areas and Islands from the date of issue of the approval letter for the financial assistance. No extension of time for implementation of the project will be given. In exceptional circumstances, for the reasons beyond the control of the promoter(s), the implementation period may be extended with the approval of the Inter Ministerial Approval Committee (IMAC).

- 7.2** In case of non-implementation of the project as per the approval letter, the Ministry would have the discretion to cancel the approval granted to the project and to recall the grant, if any, released, with interest @ 10% per annum.
- 7.3** In case of failure to operate the project for at least three years after commencement of commercial operation, the promoter shall return the entire grant-in-aid with interest @ 10% per annum.
- 7.4** In case of the failure of the promoter(s) to refund the grant-in-aid amount with interest, in the event of non-implementation of the project as approved and / or utilization of the grant for purposes other than on the approved components, the due amount shall be recovered as an arrear of land revenue as per the relevant law in force.
- 7.5** In case of any dispute arising out of interpretation of any of the terms and conditions as contained in the Scheme Guidelines and/or Approval Letter, the interpretation and decision there on of Ministry of Food Processing Industries shall be final and binding.
- 7.6** The Ministry may seek any clarification and/ or any document/ information at any stage of the project. The Ministry reserves the right to modify the Scheme Guidelines or any other terms and conditions as contained herein.
- 7.7** If at any point of time, it comes to the notice of the Ministry that the grant has been availed by manipulation/ concealment of information/ facts, the same shall be withdrawn immediately and the amount, if any, released shall be refunded along with interest at the rate of 10% per annum.
- 7.8** The assets created wholly or substantially out of the Government Grant shall not be disposed-off or encumbered or utilized for purposes other than those for which the grant has been sanctioned, without obtaining the prior approval of the Ministry. In case of non-compliance of this condition, the promoter(s) will be liable to refund the grant with interest @ 10% per annum. In case of the failure of the promoter(s) to refund the grant-in-aid amount with interest, the due amount shall be recovered as an arrear of land revenue as per the relevant law in force.
- 7.9** User charges/hiring rates of the facilities created under the project will be placed in the public domain by uploading the same on the website of the project/organization. A copy of the same will also be made available to the Ministry.
- 7.10** The promoter(s) of the completed projects will submit the following documents every year to the Ministry for next five years from the date of release of 3<sup>rd</sup> and final installment of the approved grant:-
- (a)** Audited Annual Financial Statement of the entity showing balance sheet, profit & loss account, schedule and notes to accounts of the cold chain project.

- (b) Percentage capacity utilization of the cold storage/ cold chain/ processing facilities.

7.11 The promoter shall make all possible efforts to complete the project as per the stipulated timelines mentioned in the approval letter. In case of non-adherence to stipulated timelines, except in case of *force de majeure* or reasons beyond the control of promoter, the IMAC may consider imposing penalty in terms of reducing the grant amount, on case to case basis as per following:

- (a) The timeline for each installment as provided in para 6(a, b & c) of the scheme guidelines and /or as approved by IMAC at the time of according approval shall be applicable for this purpose. Only '*force de majeure*' reason will be accepted as genuine reasons for delay in cases where promoter fails to adhere to the timeline and request the Ministry for relaxation of penal action.
- (b) In case of non-adherence to the timeline of a particular installment due to the project, a penalty amounting to 1% of the quantum of installment due for release will be imposed for each month's delay beyond the stipulated timeline. The maximum amount of penalty, however, shall not exceed 5% of the installment to be released.
- (c) The penalty will be imposed for such delay till the date of submission of the complete documents to the Ministry for release of installment of the grant.
- (d) The decision of the IMAC shall be final and binding on imposition of the penalty, quantum of the penalty and the period for which the penalty is to be imposed.

## 8. Expression of Interest (EOI):

8.1 Applications under the scheme will be invited through EOI by the Ministry.

## 8.2 Basic Eligibility Criteria:

The proposals have to meet the following basic eligibility criteria under the scheme:

- (a) The net worth of the applicant should be at least 1.5 times of the grant applied for. The net worth will be calculated as follows:-

- i. **In respect of Limited Companies:**

The net worth will be ascertained based on the paid-up share capital of the company and reserves created out of profits.

Revaluation reserves only in respect of land & building may be considered for ascertaining the net worth, which will be based on circle rates duly supported by circle

rate notification. Such revaluation reserves shall also be reflected in forward going balance sheet of the company.

**Share application money would be considered towards calculation of net worth provided the same has been converted into Paid up Capital within stipulated time as per Companies Act but, in any case, it should be converted into paid up capital before the approval is accorded to the project by the Ministry.**

**In case of newly formed companies for the purpose of setting up integrated cold chain projects, the networth of the share holders of the company would be considered in the same manner as in case of partnership firms.**

Loans and advances given to group companies/ related concerns/ individuals will not be considered towards computation of net worth.

A latest provisional balance sheet duly certified by the Statutory Auditor of the Company needs to be submitted for ascertaining the networth.

**(ii) In respect of Proprietorship/ Partnership firms / NGOs / Cooperatives/ SHGs etc.**

The net worth of all entities other than companies will be ascertained on the basis of latest balance sheet of individual proprietor/partner(s) i.e. assets minus all liabilities, duly certified by the Chartered Accountant (CA). Following components would be considered towards net worth:-

Assets include cash, current value of investments, land and building (supported by valuation report at circle rate, circle rate notification and land ownership documents), Bonds, cash value of life insurance, current total balance of savings, current or fixed deposit accounts.

The aforementioned networth components need to be supported by relevant documentation.

Shares of unlisted companies will be considered at face value for net worth and shares of listed companies will be considered based on the average market price of the share for a period of 6 months prior to date of submission of proposal.

Assets such as car, jewellery, antiques, gold etc. would not be considered for networth.

Loans and advances given to group companies/related concerns/individuals will also not be considered towards computation of net worth.



**The applicant(s) will have to give a self-certification regarding the details of the encumbrance of the property submitted by them towards the network.**

- (b)** Infusion of equity of at least **20%** and **10%** of the total project cost respectively for projects in general areas and for projects in North East States, Himalayan States, ITDP Areas & Islands.
- (c)** Availing term loan from the Bank / Financial Institutions (FI) for an amount not less than **20%** of the project cost.
- (d)** In-principle or final term loan sanction letter along with a detailed appraisal note from the Bank / FI should be submitted with the proposal. The detailed appraisal note should be specific to the proposal submitted to the Ministry of Food Processing Industries. **Detailed Project Report /Techno Economic Viability Report merely stamped or endorsed by the Bank/ FI without detailed appraisal note shall not be considered as valid appraisal note and such proposals will not be considered for financial assistance.**
- (e)** The date of sanction of term loan should not be earlier than the date of issue of EoI.
- (f)** The applicant will have to set up the component of Farm Level Infrastructure and any one or both of the components from (b) and (c) in para 3.1 above.  
  
Considering the functional nature of the facility, irradiation facility will be treated as a standalone project for the purpose of availing grant.
- (g)** Date of commercial operation should not be prior to the date of submission of application.
- (h)** Not more than one application from the same applicant / company will be entertained. An application will be considered as second proposal if there are cross holdings of the promoter(s)/partner(s) between two applicants/entities. Shareholding pattern in case of companies, partnership deed and details of partner's capital accounts in case of partnership firms shall be submitted. Further, the details of PAN numbers of promoter(s)/partner(s) for an applicant entity shall also be submitted.
- (i)** In case of second proposal from the existing promoter(s) who have availed grant-in-aid under the scheme, details of existing facility including technical civil work and plant & machinery should appropriately be furnished in the DPR as well as in the Bank Appraisal note. In such cases, a pre-approval inspection may be carried out by the Ministry. Only those applicants/ promoters will be considered for financial assistance under second proposal category who have successfully operated the previously approved cold chain facility for at least two years. This period of two years would be reckoned from the date of start of commercial operation or date of submission of claim for 3<sup>rd</sup> Installment to the Ministry, whichever is later.

- (j) Expansion projects will be supported provided separate Technical Civil Work and Plant & Machinery are envisaged, distinct from the existing facility. The conditions mentioned in sub para (i) above would apply in such cases also.
- (k) In case of both (i) & (j) above, a certificate from the lending bank/ FI will be submitted stating that the applicant has not defaulted in its term loan repayments and the project has not been classified as Non-Performing Asset.

## 9. DOCUMENTS REQUIRED:

Following documents are required to be submitted with the application:

- (a) Detailed Project Report (DPR) in the prescribed template as at **Appendix-II**.
- (b) In-principle or Final term loan sanction from the Bank/ Financial Institution.
- (c) A detailed appraisal note from the Bank / Financial Institution.
- (d) Certificate of incorporation/ registration of the applicant firm, Memorandum and Articles of Association in case of Company/ Bye laws of the Society, Co-operative, Self Help Group/ Registered partnership deed, etc.
- (e) Bio-data/background/ experience of the project promoter(s).
- (f) Annual reports and Audited Financial Statement of Accounts of the applicant firm/company/cooperative/ Partnership/ Self Help Group, etc. for last two years.
- (g) Self attested English/ Hindi version of land documents in support of land title in the name of the applicant or land lease, duly registered with the competent authority for not less than the period of 15 years.
- (h) Change in Land Use (CLU) permission for the project for the said land from the competent authority. However, if the land is not available at the time of application, the land documents in respect of distribution hub / farm-level infrastructure / milk collection centers/ retail outlets shall be submitted as below:
  - i. Land documents, in the name of the applicant or land lease, duly registered with the competent authority for not less than the period of 15 years, for the main facility are required to be submitted within 60 days of the issue of approval letter.
  - ii. Land documents, in the name of the applicant or land lease, duly registered with the competent authority for not less than the period of 15 years, for at least 75% of the number of collection centers/ farm-level infrastructure are required to be submitted at the time of release of 2<sup>nd</sup> installment of grant in aid.

- iii. Land documents, in the name of the applicant or land lease, duly registered with the competent authority for not less than the period of 15 years, for all of the collection centers/ farm-level infrastructure are required to be submitted at the time of release of 3<sup>rd</sup> and final installment of grant in aid.
- (i) Quotations from the suppliers of Plant & Machinery and equipment, etc. for the project.
- (j) In case of irradiation facilities, the following documents are required to be furnished:-
  - i. Letter from Bhabha Atomic Research Centre (BARC)/ Board of Radiation Isotope Technology (BRIT) that the technology is approved for intended process/products.
  - ii. Technical agreement with BARC/BRIT for installation & operationalization of plant.
- (n) Certificate from lending Bank/ FI that the facility has not commenced commercial operations as on the date of issue of EOI or the date of bank certificate, whichever is later.
- (o) An undertaking to be furnished by the applicant as per **Appendix- III**.

**Note: All pages of the proposal are to be properly numbered and the proposal should contain an Index as first page of the proposal indicating the various documents submitted along with page number, failing which the proposal is likely to be rejected.**

**10. The promoter shall submit land documents for main facility (Farm Level Infrastructure/ Distribution Hub) along with CLU permission and final term loan sanction letter from Bank/FI, within two months from the date of issue of approval letter, in case these documents have not already been submitted along with the proposal.**

**11. Selection of Proposals for Financial Assistance:**

**11.1 Technical Committee (TC):**

All proposals received against EOI will be scrutinized by the Technical Committee (TC) to ascertain prima-facie eligibility. Proposals found eligible will further be appraised/ evaluated by the TC. The TC will have the following composition:-

- (a) Additional Secretary/ Joint Secretary, MOFPI, In-charge of the Cold Chain Scheme – Chairperson.
- (b) Managing Director, National Horticulture Board (NHB) or his nominee- Member

- (c) Economic Advisor, MoFPI-Member.
- (d) Joint Secretary, Department of Animal Husbandry, Dairying and Fisheries or his nominee-Member.
- (e) Joint Secretary/Director (Finance), MoFPI-Member.
- (f) Chairman, Agricultural & Processed Food Products Export Development Authority (APEDA) or his nominee-Member.
- (g) State Representative from the concerned State Food Processing Mission Directorate (NMFP)-Member.
- (h) Two technical experts [(a)-Domain Expert and (b) Finance Expert] to be nominated by Secretary, FPI- Members.
- (i) Deputy Secretary/ Director (Cold Chain), MoFPI-Member Secretary.
- (j) Representative from BARC/BRIT for irradiation projects - Member.

The proposals found prima facie eligible based on the basic eligibility criteria mentioned in para 8.2 above will be evaluated as per the assessment criteria at **Appendix-IV** of these guidelines.

#### **11.2 Inter-Ministerial Approval Committee (IMAC):**

The recommendation(s) of the TC on each proposal (eligible or otherwise) will be placed before Inter-Ministerial Approval Committee (IMAC) for approval. The IMAC will have following composition:-

- (a) Hon'ble Minister for Food Processing industries- Chairperson.
- (b) Secretary, MoFPI-Member.
- (c) Financial Adviser, MoFPI-Member.
- (d) Joint Secretary, In-charge Cold Chain Scheme, MoFPI- Convener & Member.
- (e) Principal Secretary, Industries/FPI of the concerned State-Member.
- (f) Joint Secretary, In-charge, MIDH, Ministry of Agriculture, Cooperation & Farmers Welfare-Member.
- (g) Representative of NABARD as Financial Expert-Member.
- (h) Joint Secretary, Department of Animal Husbandry, Dairying and Fisheries - Member.

#### **12. The Ministry shall have the final say regarding eligibility or otherwise of the proposals and selection of proposals for grant-in-aid.**

**13.** The criteria of maintaining regional balance may be taken into account by the Ministry while deciding the projects for sanction of grant under the scheme. This criteria will however, be applicable only to proposals found eligible as per these guidelines.

**14. Programme Management Agency (PMA):** The Ministry will implement the scheme with the assistance of Programme Management Agency (PMA). The role and responsibilities of the PMA are defined at **Appendix-V**.

**15. Ministry's decision final:** The decision of the Ministry in all matters relating to eligibility, acceptance or rejection of the applications, mode of selection, grant approved and imposition of penalty will be final and binding on the applicants.

**16. Court's Jurisdiction:** Any dispute arising out of selection of proposals and implementation of approved project under this scheme guideline will be subject to Courts/ Tribunals having jurisdiction over Delhi.

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## Appendix-I

### Terms and conditions for release of grant-in-aid to the project

#### [Refer Para 6 (d)]

- 1) The promoter shall submit the following documents **within 30 days** of receipt of the approval letter:-
  - i. Revised implementation schedule, if any, of the project, detailing the specific dates/year for the completion of each stage of the implementation of the project duly certified by the concerned lending bank and countersigned by the promoter(s).
  - ii. Confirmation of the capacities as detailed in the approval letter that would be created at the Farm Level Infrastructure/Distribution Hub/ Reefer Transport (number and capacity).
  - iii. The promoter needs to create three separate bank account(s) consisting of two separate current accounts with respect to the promoter(s) contribution and grant-in-aid, and a term loan account. Details of the bank account pertaining to grant-in-aid are to be provided in the ECS mandate form as provided in **Annexure-I**.
  
- 2) The promoter shall submit following documents **within 60 days** from the date of issue of approval letter, if not submitted with the proposal:
  - i. **The Self-attested English/Hindi version of main facility [farm level infrastructure and/or distribution hub] land documents**, in support of land title in the name of the applicant or land lease, duly registered with the competent authority for not less than the period of 15 years.
  - ii. **Change in land use (CLU) permission** for the main facility **[farm level infrastructure and/or distribution hub]** land from the competent authority, wherever applicable.
  - iii. Final term loan sanction letter from Bank/FI.
  
- 3) **Release of Grant-in-aid**
  - (a) The promoter shall submit the following documents along with the request for 1<sup>st</sup> Installment within 8 months (10 months in case of North East States, Himalayan States, ITDP Areas & Islands) from the date of issue of the approval letter:-
    - i. **Surety Bond as per Annexure-II** – To be executed by the beneficiary/applicant on a Non-Judicial stamp paper of not less than Rs.100, duly notarized and signed by two independent witnesses (other than promoters) along with Board resolution/certificate from Board of Directors/promoters (as applicable) authorizing one of the directors/partners as authorized signatory of documents.

- ii. **CA certificate-** Latest certificate of actual expenditure along with means of finance in the prescribed format duly certified by Chartered Accountant (CA) and countersigned by the promoter, which should establish that at least 25% of the promoter's contribution and at least 25% of the term loan has been utilized on the eligible project cost as per **Annexure-III**.  
Details of unsecured loan (along with PAN numbers of lenders), if any, duly certified by Chartered Accountant and countersigned by the promoter are to be given as per **Annexure-III**.
- iii. **Certificate from Chartered Engineer (Civil)** for technical civil works indicating item wise progress on approved components, cost, quantity, manufacturers/suppliers and comment on quality and status of implementation duly countersigned by the promoter as per **Annexure-IV**.
- iv. **Certificate from Chartered Engineer (Mechanical)** for plant and machinery indicating item wise progress on approved components, cost, quantity, manufacturers/suppliers and comment on quality and status of implementation duly countersigned by the promoter as per **Annexure-V**.
- v. **Certificate** from the concerned bank/ financial institution which has sanctioned term loan for the project, as per **Annexure-VI**, certifying that:-
  - a. It has disbursed 25% of the term loan of the total sanctioned term loan; and
  - b. Has no objection for disbursement of 25% of the grant-in-aid being released by the Ministry.Bank Statement(s) –Certified bank statements of all accounts from where payments are received and made till date of expenditure of 25% of promoter's contribution and 25% of term loan on the eligible project cost as per implementation schedule and highlighting payments (including advance payments) made to suppliers/vendors/contractors.
- vi. **Major invoices/receipts** from suppliers/ vendors (on eligible project expenditure incurred so far).
- vii. The Building plan, of the Main Facility and/or the Farm Level Infrastructure, as applicable, approved (NOC Certificate) by the Municipal Corporation / Town & Country Planning Department or a competent authority, for locations within a city/town or from the Village Panchayat for locations outside a city/town.
- viii. Submission of Valid Consent to Establish from the Central/ State Pollution Control Board.

- ix. **PFMS (CGA) Registration** – Controller General of Accounts (CGA) registration on pfms.nic.in of firm under agency type “private sector companies” and under the scheme name “National Mission on Food Processing (SAMPDA) CS (9535)”.
- x. Any other condition which may be specified from time-to-time.
- (b) The promoter shall submit the following documents along with the request for 2<sup>nd</sup> Installment of grant within 14 months (18 months in case of North East States, Himalayan States, ITDP Areas and Islands) from the date of issue of approval letter:-
- i. **CA certificate**- Latest certificate of actual expenditure on the project along with means of finance in the prescribed format duly certified by Chartered Accountant (CA) and countersigned by the promoter, which should ensure that at least 65% of the promoter’s contribution and at least 65% of the term loan along with grant-in-aid released has been utilized on the eligible project cost as per **Annexure-III**.  
Details of unsecured loan (along with PAN numbers of lenders), if any, duly certified by Chartered Accountant and countersigned by the promoter are to be given as per **Annexure-III**.
- ii. **Certificate from Chartered Engineer (Civil)** for technical civil works indicating item wise progress on approved components, cost, quantity, manufacturers/suppliers and comment on quality and status of implementation duly countersigned by the promoter as per **Annexure-IV**.
- iii. **Certificate from Chartered Engineer (Mechanical)** for plant and machinery indicating item wise progress, cost, quantity, manufacturers/suppliers and comment on quality and status of implementation duly countersigned by the promoter as per **Annexure-V**.
- iv. **Certificate** from the concerned **bank/ financial institution** which has sanctioned term loan for the project, as per **Annexure-VI**, certifying that:-
- a. It has disbursed 65% of the term loan of the total sanctioned term loan and has also released 1<sup>st</sup> installment of grant-in-aid; and
- b. Has no objection for disbursement of 40% of the grant-in-aid being released by the Ministry.
- Bank Statement(s) –Certified bank statements of all accounts from where payments are received and made till date of expenditure of 65% of promoter’s contribution and 65% of term loan on the eligible project cost as per implementation schedule and highlighting payments (including advance payments) made to suppliers/vendors/contractors.



- v. **Major invoices/receipts** from suppliers/vendors (on eligible project expenditure incurred so far).
  - vi. **Utilization Certificate** of the 1<sup>st</sup> Installment of the grant as per **Annexure-VII**– In the format provided in GFR 19-A duly certified by the promoter and counter signed by Chartered Accountant.
  - vii. Valid Consent to Establish or Operate, as the case may be, from the Central/ State Pollution Control Board.
  - viii. **Self attested English/Hindi version for at least 75% of the number of collection centres/ farm-level infrastructure**, in support of land title in the name of the applicant or land lease, duly registered with the competent authority for not less than the period of 15 years.
  - ix. **Change in land use (CLU)** permission for the 75% Collection Centres/ farm-level infrastructure land from the competent authority, wherever applicable.
  - x. Any other condition which may be specified from time-to-time.
- (c) The promoter shall submit the following documents along with the request for 3<sup>rd</sup> and final Installment within 20 months (24 months in case of North East States, Himalayan States, ITDP Areas & Islands) from the date of issue of the approval letter:-
- i. **CA certificate-** Latest certificate of actual expenditure on the project along with means of finance in the prescribed format duly certified by Chartered Accountant (CA) and countersigned by the promoter, which should establish that 100% of the promoter's contribution, 100% of the term loan and 2nd Installment of Grant-in-aid has been utilized in the project as per **Annexure-III**.  
Details of unsecured loan (along with PAN numbers of lenders), if any, duly certified by Chartered Accountant and countersigned by the promoter to be given as per **Annexure-III**.
  - ii. **Certificate from Chartered Engineer (Civil)** for technical civil works indicating item wise progress on approved components, cost, quantity, manufacturers/suppliers and comment on quality and status of implementation duly countersigned by the promoter as per **Annexure-IV**.
  - iii. **Certificate from Chartered Engineer (Mechanical)** for Plant and Machinery indicating item wise progress, cost, quantity, manufacturers/suppliers and comment on quality and status of implementation duly countersigned by the

promoter as per **Annexure-V**.

iv. **Certificate** from the concerned bank/ financial institution which has sanctioned term loan for the project, as per **Annexure-VI**, certifying that:-

- a. It has disbursed 100% of the term loan of the total sanctioned term loan and has also released 2<sup>nd</sup> installment of grant-in-aid; and
- b. Has no objection for disbursement of 35% of the grant-in-aid being released by the Ministry.

Bank Statement(s) –Certified bank statements of all accounts from where payments are received and made till date of completion of 100% of the project cost as per implementation schedule and highlighting payments (including advance payments) made to suppliers/vendors/contractors.

v. **Major invoices/receipts** from suppliers/vendors (on eligible project expenditure incurred so far).

vi. **Utilization Certificate** of the 2<sup>nd</sup> Installment of the grant as per **Annexure-VII**– In the format provided in GFR 19-A duly certified by the promoter and counter signed by Chartered Accountant.

vii. Valid Consent to Operate from the Central/ State Pollution Control Board.

viii. **Self attested English/Hindi version for all collection centres/ farm-level infrastructure**, in support of land title in the name of the applicant or land lease, duly registered with the competent authority in favour of the applicant for not less than the period of 15 years.

ix. **Change in land use (CLU)** permission for all the Collection Centres/ farm-level infrastructure land from the competent authority, wherever applicable.

x. Declaration of completion of the project and start of commercial operation, duly certified by the Bank.

xi. Display of information prominently on the front of the project building/ vehicles stating that the “**Project is assisted by the Ministry of Food Processing Industries, Government of India**”.

xii. Statutory Clearance(s) / Approval(s) for power & water connections.

xiii. License under Food Safety and Standards Act, 2006.

xiv. Registration/ license with Warehousing Development & Regulatory Authority (WDRA), if applicable.

- xv.** Registration certificate of reefer vans and insulated vehicles.
- xvi.** Any other condition which may be specified from time-to-time.

**[Refer Para 9 (a)]**

**Template of DPR**

1. Name of the applicant/ company / firm with details of registration no. of company / firm along with names of the directors/promoters in the prescribed format:

<b>S No.</b>	<b>Particulars</b>	<b>Details</b>
i.	Name of Applicant	
ii.	Legal Status of Applicant (Govt. Institution / organisation, NGO, Co-operative/ Company/ partnership firm/ proprietorship, Farmer Producer Company, Self Help Group, etc.)	
iii.	Registration No. of Applicant/CIN	
iv.	PAN of Applicant	

2. Contact details of the Promoter(s)/Partner(s) including addresses, telephone, mobile, fax, e-mail, website, PAN etc.

<b>S No.</b>	<b>Name of Promoter(s)/Partner(s)</b>	<b>Address</b>	<b>Telephone No.</b>	<b>Mobile No.</b>	<b>E-mail Id</b>	<b>PAN No.</b>	<b>Any other details</b>
Please add additional rows, if needed.							

3. Experience of the lead Promoter(s)/Partner(s)/ Applicant Entity in Food Processing, Cold Chain (Pl. enclose documentary evidence regarding experience and turnover)

<b>S No.</b>	<b>Name of lead Promoter(s)/Partner(s)/ Applicant Entity</b>	<b>Details of Experience</b>	<b>Details of Turnover (year-wise)</b>	<b>Supporting Document attached, if any (Yes/No)</b>

4. Project Details:

- a. Components under the scheme as applicable to the project:

<b>S No.</b>	<b>Components</b>	<b>Numbers Proposed</b>
i.	Distribution Hub	
ii.	Farm Level Infrastructure	
iii.	Reefer/ Insulated Vehicles	
iv.	Irradiation Facility	

v.	Processing Facility, if any, proposed to be setup	
----	---	--

b. Sector of Project (Fruits & Vegetables/Dairy/Marine/Poultry/Fishery/Ready to Eat/Ready to Cook/ Mixed etc.): .....

c. Type of Operating Model (Rental, Rental+ Captive, Captive Use etc.).....

**5. Land Details.**

(i) **Proposed Locations of Land for all project facilities and status of their acquisition along with longitude & latitude coordinates**

Location of Land	Facility (Distribution Hub/ Farm Level Infrastructure)	Area (Sqm)	Status of possession (Owned/ leased**) (Document Submitted Yes/No)	In case of lease (Period of lease in years **) (Documents Submitted Yes/No)	Status of Land Use Conversion (CLU) (Document Submitted Yes/No)	Connectivity Details. Distance (in kms) from: a. National Highway b. State Highway c. Freight corridor d. Golden Quadrilateral	Distance from Catchment Area (kms)	Coordinate Details (Longitude & Latitude)	Ref Pg no. in DPR*
Land 1	Distribution hub								
Land 2	Farm Level Infrastructure (FLI)								
Please add additional rows in case of multiple locations									

\*DPR should have a detailed chapter on proposed land, raw material production and supply statics for the catchment area.

\*\* In case of leased land, period of lease should be not less than 15 years.

**6. Proposed facilities**

S. No.	Type of facilities proposed to be created	No. of Units	Total Capacity [MT, Ltrs, MT/Hr., where ever applicable]	Location		No. of Days of operation of each facility in a year
				Distribution Hub	FLI	
i.	CA Store					
ii.	Normal cold store					
ii.	Frozen store					
iv.	Pre-cooling Chambers					

v.	Sorting, Grading, Waxing, Weighing, Packing facility				
vi.	Ripening Chambers				
vii.	IQF				
viii.	Blast Freezing				
ix.	Milk Processing Facilities				
x.	Milk Chilling Centres				
xi.	Bulk Milk Coolers (BMCs)				
xii.	Refrigerated Vehicles/ Reefer vans				
xiii.	Mobile Pre-coolers				
xiv.	Insulated Milk Tankers/ vans				
xv.	Insulated Distribution Vehicle				
xvi.	Irradiation Facility				
xvii.	Refrigerated Container				
xviii.	Refrigerated Carts				
xix.	Solar Powered Carts				
xx.	Refrigerated Cabinets				
	Add other components not listed above				

## 7. Proposed Project Financials

### a. Estimated Project cost details

Item	Amount (In Rs. Lakh)
Land (Including FLIs / CCs / value added centre / distribution centre)	
Site Development	
Technical Civil Work (TCW)*	
Other Civil Works	
Plant & Machinery (P&M)**	

Common Utilities like Water/ETP/ STP, etc.***	
Pre-operative Expenses	
Interest During Construction	
Margin Money for Working Capital	
Contingencies	
Add other items not listed above	
<b>Total Project Cost</b>	

\* The component wise cost breakup of technical and other civil work should be provided in the Chartered Engineer (Civil) certificate in the prescribed format as enclosed as Annexure-IV

\*\* The component wise cost breakup of P&M should be provided in the Chartered Engineer (Mechanical) certificate in the prescribed format as enclosed as Annexure-V

\*\*\*The cost of common utilities may be provided in Chartered Engineer (civil) and Chartered Engineer (Mechanical) certificate where ever applicable.

**b. Means of finance**

Item	Amount (In Rs. lakh)
Promoter's Equity	
Term loan	
Eligible grant	
Unsecured loan	
<b>Total</b>	

**c. Basic Revenue Projections**

Item	Year 1	Year 2	Year 3	Year 4	Year 5
Turnover					
Cost of Operations					
Gross Profit					
Earnings Before Interest, Tax,					

Depreciation and Amortization (EBITDA)					
Profit before taxation					
Profit after taxation					

**d. Financial Parameters** (as per Bank Appraisal Note)

<b>S No.</b>	<b>Particulars</b>	<b>Details (Ratio/%)</b>	<b>Ref Page No. in DPR*</b>
i.	Internal Rate of Return (IRR) [(a) With and (b) without grant]		
ii.	Avg. Debt Service Coverage Ratio (DSCR)		
iii.	Break Even Point (BEP)		
iv.	Debt-Equity Ratio		

\*To be provided for section under the bank appraisal note highlighting the aforementioned detail.

8. Availability of Raw Material in the Catchment Area - provide details such as Adequate Volume, Wider Mix of Raw Materials, Days of Operation in a Year along with supporting data.

9. Details of the catchment area of the project.

<b>S.No.</b>	<b>Location of the Catchment (Primary/secondary)</b>	<b>Name Village/Dist./APMC</b>	<b>Commodities to be sourced</b>	<b>Quantities to be sourced [MT/KLD- in case of milk] ( per annum)</b>	<b>Ref. Pg. no. in DPR*</b>

\*DPR should comprise of detailed chapter on proposed catchment (production and supply statistics).

10. Employment Generation projections

- a. Direct Employment: .....
- b. Contractual Employment with no. of days: .....



c. Indirect Employment (specify): .....

11. Details of renewable/ alternate energy sources including solar energy, if any, proposed to be used for operating the project including inter alia, details of power generation.
12. Details of adoption of modern technology for reducing the carbon footprints and increasing operational efficiency:-

Sl. No.	Name of technology/ item	Basic cost (excluding taxes etc.)	How the technology will help in reducing carbon footprint and/or increase in operational efficiency

13. List of Manufacturers/ Suppliers of P&M (enclose quotations)

Date: \_\_\_\_\_  
Place: \_\_\_\_\_

**Signature of the Applicant/Lead Promoter**

**UNDERTAKING  
[Refer Para 9 (o)]**

I ..... (Name of the Lead Promoter/ Director/ Partner/ Proprietor etc.) son of Mr..... (father's name) resident of ..... (residential address) do hereby solemnly affirm and declare/undertake as under:

1. That I am promoter/ director/ partner/ proprietor of M/s..... (name of applicant) having its Registration no. ....and Registered Office at ..... (office address of applicant).
2. I hereby make application and I am duly authorized in my own right/by management vide its resolution no. ....dated.....to apply and sign all required documents including this undertaking on behalf of company/partnership firm/cooperative society etc. named as .....; and am fully aware of the facts relating to the setting up of integrated cold chain project at Survey/ Plot No....., Village.....,Tehsil.....,District.....,State....., PIN code ..... (location of the main facility) for.....(activities to be undertaken by project) and application is being made to the Ministry of Food Processing Industries (MoFPI) under the Central Sector Scheme for Integrated Cold Chain and Value Addition Infrastructure.
3. That the term and conditions of the above scheme of the MoFPI under which an application is made by the applicant have been properly read and understood by me and I affirm that the project/ proposal comply with all the terms and conditions of the approval letter and provisions enshrined in the scheme guidelines.
4. That the proposed activities to be undertaken by the project/proposal are covered under the above scheme of MoFPI and no part of the scheme/infrastructure of the project is designed or assigned to be used for any activity other than the activities specified in the application at present or in the near future.
5. It is certified that ..... (name of applicant) has not obtained or applied for grants for the same project, component, purpose or activity from any other Ministry or Department of the Government of India or State Government or their agencies.
6. It is certified that applicant's sister concern (s)/ related company / group company/firms as well as the applicant itself has not availed any financial assistance for a food processing project in the past from MFPI [if availed, the details shall be furnished separately].
7. I also solemnly affirm/undertake that the proposed project components in the application are a completely new activity and not a pre-existing activity or any component thereof.

8. In case of concealment of any facts in this regard, the MoFPI would have right to reject/ cancel my application/project out right at any stage.
9. I will meet any shortfall in means of finance due to less admissibility of grant or any future reduction in grant-in-aid or any escalation caused in the cost of the project.
10. I shall not dispose-off or encumber or utilize the assets created wholly or substantially out of government grant for purpose other than those for which they have been sanctioned, without obtaining the prior approval of the sanctioning authority of grant-in-aid.
11. In case of non-implementation/ delayed implementation of the project the Ministry will have absolute right in cancelling the approval granted and also recall the grant released, if any, along with interest as per the scheme guidelines.
12. In case of failure to operate the project for at least three years after commencement of commercial operation, I shall return the entire grant-in-aid with interest @ 10% per annum.
13. User charges/hiring rates of the facilities created under the project will be disseminated to the public including uploading of the same on the website of the project/ organization. A copy of the same will also be made available to the Ministry.
14. I also undertake that all the information furnished in the application and the DPR with respect to the eligibility conditions, etc. are true and correct to the best of my knowledge and belief and nothing material has been concealed therefrom.

**Date:** \_\_\_\_\_

**Signature of the Applicant/Lead Promoter**

**Place:** \_\_\_\_\_

[Refer Para 11.1]

S.No.	Criteria for Evaluation of Integrated Cold Chain Proposals	Max Marks
1	<b>Adequate Volume and Wider Mix of Raw Materials/Days of Operation in a Year (Suitability of Location, Project Site and Status of Possession of Land)</b>	17
	i. Availability of raw materials , product mix & no. of days of operations (Higher marks shall be given to the projects which provide the details of raw material in the catchment area supported by latest authentic data).	10
	ii. Suitability of project location(s) for proposed operations and their connectivity through road, railways etc. If land is in close proximity to state/national highway/freight corridors/golden quadrilateral marks may be awarded, as follows: a. 0-5 Kms : 2 marks b. 5-10 Kms : 1 mark	2
	iii. Status of project land a. Land in possession of the Applicant with approval for industrial use: 5 marks b. Land in possession of the Applicant without approval for industrial use: 3 marks c. Land not in possession of the Applicant but Agreement to sale executed: 2 marks	5
2	<b>Experience in Cold Chain/ Food Processing :</b> (i) Already in cold chain <b>and</b> food processing business having annual turnover of Rs. 5 crore and above during a year : 8 marks (ii) If in cold chain <b>or</b> food processing business having annual turnover of Rs. 5 crore and above during a year : 6 marks (iii) If in cold chain <b>or</b> food processing business having annual turnover of less than Rs. 5 crore during a year : 4 marks	8
3	<b>Economic Viability of the proposal</b>	10
	Economic Viability of Project Based on Bank Appraisal (Key financial parameters viz. IRR, DSCR etc.) (The average of score for IRR and DSCR would be considered. IRR and DSCR will be taken from bank appraisal note. In case, IRR and/ or DSCR are not given in the bank appraisal note then the same will be taken from the DPR. <u>Max marks shall be given for IRR = 5</u> a. 5 marks shall be given if IRR >20% b. 4 marks shall be given if IRR is between 17%-20% c. 3 marks shall be given if IRR is between 14%-16.9% d. 2 marks shall be given if IRR is between 10%-13.9%	

	<p>e. Nil marks shall be given if IRR is less than 10%</p> <p><u>Max marks shall be given for DSCR = 5</u></p> <p>a. 5 marks shall be given if DSCR <math>\geq</math>3.0</p> <p>b. 4 marks shall be given if DSCR is between 2.5 and 2.9</p> <p>c. 3 marks shall be given if DSCR is between 2.0-2.4</p> <p>d. 2 marks shall be given if DSCR is between 1.5-1.9</p> <p>e. Nil marks shall be given if DSCR <math>&lt;</math>1.5)</p>	
<b>4</b>	<b>Proposed investment in Cold Chain Components</b>	<b>20</b>
	i. Proposals creating farm level infrastructure, distribution hub near consumption center and reefer transport coupled with processing using one or more facilities in serial no. 5 below.	20
	ii. Proposals creating farm level infrastructure, distribution hub near consumption center and reefer transport.	15
	iii. Proposals creating farm level infrastructure and reefer transport/ distribution hub.	10
	iv. Proposals for setting up irradiation unit.	15
<b>5</b>	<b>Project proposing value addition/processing facilities such as IQF, vacuum freeze drying, spiral/tunnel/blast freezers, etc. for manufacturing of chilled/frozen value added products including value added fruits &amp; vegetables/ meat/ fisheries/ RTE/ frozen products, etc.</b>	<b>5</b>
<b>6</b>	<b>Proposals with investment in reefer transport with capacity not less than 70 MT</b>	<b>5</b>
<b>7</b>	<b>Proposed Investment in Farm Level Infrastructure [Excluding Cost of Project Land]</b>	<b>10</b>
	a. Upto 20% of Project Cost : 5 marks	
	b. More than 20% to 30% : 7 marks	
	c. More than 30% : 10 marks	
<b>8</b>	<b>Project proposed to be set up in uncovered areas/ district having abundant raw material availability and lacking cold chain infrastructure</b>	<b>10</b>
<b>9</b>	<b>Leveraging of Investment in the Project</b>	<b>5</b>
	<b>For General Areas</b>	
	i. Proposed private investment excluding land $<$ 2 times of grant sought: 3 marks	
	ii. Proposed private investment excluding land $\geq$ 2 times of grant sought: 5 marks	
	<b>For Difficult Areas</b>	
	i. Proposed private Investment excluding land $<$ grant sought: 3 marks	
	ii. Proposed private Investment excluding land $\geq$ grant sought: 5 marks	
<b>10</b>	<b>Adoption of modern technology for reducing carbon foot print, energy efficiency, fast-tracking &amp; optimising operations, such as PLCs, dock levellers, dock shelters, modern racking system, high reach trucks, multi-modal containers, robotics, etc.</b>	<b>2</b>
<b>11</b>	<b>Projects proposing to use renewable/ alternate energy source (either fully or partially) to power cold chain project</b>	<b>8</b>
	<b>Total</b>	<b>100</b>

**ROLE AND RESPONSIBILITIES OF PROGRAMME MANAGEMENT AGENCY(PMA)**

**[Refer Para 14]**

- (i)** Scrutiny of the Proposals received against the EOI/advertisement and assists the Ministry in selection of eligible proposals.
- (ii)** Appraisal of the DPR/projects indicating financial viability, commercial sustainability and socio-economic impact and presentation of the appraisal to the Ministry for approval.
- (iii)** Assist the Ministry in issue of approval letters to the selected projects.
- (iv)** Assist/advise the Ministry in release of the grant to the approved projects. Scrutiny of the proposals for release of grant as per the scheme guidelines. Undertake site inspections to assess the actual physical progress and verify the claims/documents submitted by the applicant for release of grant and make recommendations to the Ministry. In addition to carrying out mandatory site inspections before release of 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> installment of grant, the PMA will also carry out additional site inspections as and when requested by the Ministry to ascertain the status of implementation of a particular project.
- (v)** Monitoring and reporting the progress of the cold chain projects to the Ministry periodically on the basis of defined milestones or as directed by the Ministry.
- (vi)** Updating the data regularly in the online dashboard monitoring system for monitoring the progress of the assisted cold chain projects. The portal will have to be kept updated at all times.
- (vii)** Development and implementation of suitable Operation & Management (O&M) plan for the assisted projects.
- (viii)** Assist MFPI in bench marking the cold chain/irradiation technology and developing technical standards/ protocols, and cost norms for setting up of cold chains/ irradiation infrastructure.
- (ix)** Provide suitable technical inputs on any aspect of the cold chain and related matter as and when required by the Ministry.
- (x)** Assist in formulating, analysis and evaluation of the scheme guidelines.
- (xi)** Assist the Ministry in getting the cold chain projects accredited to WDRA or any other regulatory agency.
- (xii)** Provide inputs for preparing reports, templates, forms and check lists related to the effective implementation of the scheme.
- (xiii)** Provide inputs for preparation of the agenda notes and minutes of the Technical Committee and Inter-Ministerial Approval Committee for the cold chain scheme.
- (xiv)** Assist the Ministry in analysis of nation wise, state wise and cluster wise requirements of the cold chain/cold storage facilities.
- (xv)** Checking the authenticity of the documents submitted by the applicants.
- (xvi)** Any other duty and responsibility assigned by the Ministry from time to time.

**Mandate Form**  
**[Refer Appendix-I, Para 1) Sub Para iii]**

**ELECTRONIC CLEARING SERVICE (CREDIT CLEARING) /REAL TIME GROSS  
SETTLEMENT (RTGS) FACILITY FOR RECEIVING PAYMENTS**

**DETAILS OF ACCOUNT HOLDER:**

NAME OF ACCOUNT HOLDER	
COMPLETE CONTACT ADDRESS	
TELEPHONE NUMBER/FAX/EMAIL	

**BANK ACCOUNT DETAILS:-**

BANK NAME	
BRANCH NAME WITH COMPLETE ADDRESS TELEPHONE NUMBER AND EMAIL	
BRANCH'S IFSC CODE	
TYPE OF BANK ACCOUNT (SB/CURRENT/CASH CREDIT)	
COMPLETE BANK ACCOUNT NUMBER (LATEST)	
MICR CODE OF BANK	

**DATE OF EFFECT:-**

I hereby declare that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information I would not hold the Ministry of Food Processing Industries responsible.

**Date**

**Signature of Customer**

**Certified that the particulars furnished above are correct as per our records.**

**(Signature and Seal of Bank)**

**(Note: Please attach a self-attested photocopy of cheque along with the verification obtained from the bank)**

**[Refer Appendix-I, Para (3) Sub Para (a) i]  
SURETY BOND**

KNOW ALL MEN BY THESE PRESENTS that we, M/s \_\_\_\_\_, a \_\_\_\_\_ (Type of organization) incorporated / registered under the \_\_\_\_\_ (Name of the Act) and having its registered office at \_\_\_\_\_ (hereinafter called the "Obligors") are held fully and firmly bound to the President of India (hereinafter called the "Government") for the sum of Rs. \_\_\_\_\_ (Rupees \_\_\_\_\_ only) well and truly to be paid to the Government on demand and without a demur for which payment we firmly bind ourselves and our successors and assignees by these presents.

SIGNED on the \_\_\_\_\_ day of \_\_\_\_\_ in the year Two Thousand \_\_\_\_\_

WHEREAS on the Obligors' request, the Government as per Ministry of Food Processing Industries' Sanction Order No. \_\_\_\_\_ Dated \_\_\_\_\_ (hereinafter referred to as the "Letter of Sanction") which forms an integral part of these presents, and copy whereof is annexed hereto and marked as Annexure-I, agreed to make in favour of the Obligors grants-in-aids of Rs. \_\_\_\_\_ (Rupees \_\_\_\_\_ Only) for the purpose of \_\_\_\_\_, (description of the project) at \_\_\_\_\_, out of which the sum of Rs. \_\_\_\_\_ (Rupees \_\_\_\_\_ only) have been paid to the Obligors (the receipt of which the obligors do hereby admit and acknowledge) on condition of the Obligors executing a bond in the terms and manner contained hereinafter which the obligors have agreed to do.

NOW the conditions of the above written obligation is such that if the Obligors duly fulfill and comply with all the conditions mentioned in the letter of sanction, the above written Bond or obligation shall be void and of no effect. But otherwise, it shall remain in full force and virtue. The Obligors will abide by the terms and conditions of the grants-in-aid by the target dates, if any specified therein.

THAT the Obligors shall not divert the grants-in-aid and entrust execution of the Scheme or work concerned to another institution(s) or organization(s).

THAT the Obligors shall abide by any other conditions specified in this agreement and in the event of their failing to comply with the conditions or committing breach of the bond, the Obligors individually and jointly will be liable to refund to the President of India, the entire amount of the grants-in-aid with interest of 10% per annum thereon, if a part of the grants-in-aid is left unspent after the expiry of the period within which it is required to be spent, interest @ 10% per annum shall be charged up to the date of its refund to the Government, unless it is agreed to be carried over.

The obligors agree and undertake to surrender / pay the Government the monetary value of all such pecuniary or other benefits which it may receive or derive/ have received or derived through / upon unauthorized use of (such as letting out the premises on adequate or less than adequate consideration or use of the premises for any purpose other than that for which the



grants-in-aid was intended of the property) buildings created/ acquired constructed largely from out of the grants-in-aid sanctioned by the Government of India, Ministry of Food Processing Industries or the administrative Head of the Department concerned. As regards the monetary value aforementioned to be surrendered / paid to the Government, the decision of the Government will be final and binding on the Obligers.

AND THESE PRESENTS ALSO WITNESS THAT the decision of the Secretary to the Government of India in the Ministry of Food Processing Industries on the question whether there has been breach or violation of any of the terms or conditions mentioned in the sanction letter shall be final and binding upon the Obligers and

IN WITNESS WHEREOF these presents have been executed as under on behalf of the Obligers the day herein above written in pursuance of the Resolution No. \_\_\_\_\_ Dated \_\_\_\_\_ passed by the governing body of the Obligers, a copy of whereof is annexed hereto as Annexure-II and by \_\_\_\_\_ for and on behalf of the president on the date appearing below:-

Signature of AUTHORIZED SIGNATORY

SIGNED FOR AND ON BEHALF OF

(Name of the obliger in block letters)

(seal/ stamp) of Organization)

1. Signature of witness

2. Signature of witness

Name & Address

Name & Address

**TO BE FILLED UP BY**

**THE MINISTRY OF FOOD PROCESSING INDUSTRIES (ACCEPTED)**

For and on behalf of the President of India

Name: \_\_\_\_\_

Designation: \_\_\_\_\_

Dated: \_\_\_\_\_

Notary Seal &  
Signature

**[Refer Appendix-I, Para (3) Sub Para (a) ii, Sub Para (b) i & Sub Para (c) i]  
CA Certificate Format  
(Letter Head of the CA)**

**CA certificate (With membership No. and firm registration No. of CA) in the following format:-**

**i. Project Cost: (Rs. in lakh)**

<b>Sl. No.</b>	<b>Name of the Component/Item</b>	<b>Cost approved by the Ministry</b>	<b>Actual expenditure incurred as on -----</b>
1.	Land/development charges		
2.	Civil works — Technical civil works — Other civil works		
3.	Plant & Machinery		
4.	Misc. Fixed Assets		
5.	Others		
	<b>TOTAL</b>		

**ii. Means of Finance: (Rs. in lakh)**

<b>Sl. No.</b>	<b>Item</b>	<b>Means of finance approved by the Ministry</b>	<b>Actual expenditure incurred as on -----</b>
1	Promoter's Equity		
2	Term Loan		
3	Grant from MFPI		
4	Unsecured loan*		
5	Others		

\*Details of unsecured loans along with PAN No. of lenders, if any, duly certified by CA.

**Signature and Seal of C.A (Statutory Auditor in case of company)**

**Date: \_\_\_\_\_**

(The certification by CA should be based on the verification of books of accounts, bills, invoices, work orders, bank statements, etc. related to the project.)

Counter signature of promoter/ authorized signatory of company with Seal



**[Refer Appendix-I, Para (3) Sub Para (a) iii, Sub Para (b) ii & Sub Para (c) ii]**

**CE Certificate (Civil) Format for Technical Civil Work:  
(Letter Head of the CE)**

**CE certificate (With membership/registration No. of CE) in the following format:**

**Name of Project:**

**Location with address:**

**Date of site Visit by Chartered Engineer:**

**Project Progress: (If project has multiple locations, the location wise details should be submitted in below format for each location)**

<b>Sl. No.</b>	<b>Name of Component</b>	<b>Proposed/ appraised Area (sqm)</b>	<b>Proposed/ appraised Cost (Lakh Rs)</b>	<b>Actual Area(sqm)</b>	<b>Actual Cost(Lakh Rs)</b>	<b>Rate/ Unit(Rs/Sqm)</b>	<b>Remarks about the status of implementation</b>	<b>Comments on quality, construction standards, market rates</b>
	<b>Total</b>							

**It is certified that the material/ components used in the Technical Civil Work are new.**

**Signature and Seal of C.E.**

Counter signature of promoter/ authorized signatory of company with Seal

[Refer Appendix-I, Para (3) Sub Para (a) iv, Sub Para (b) iii & Sub Para (c) iii]

**CE Certificate (Mechanical) Format for Plant & Machinery:  
(Letter Head of the CE)**

**CE Certificate (With membership/registration No. of CE) in the following format:-**

**Name of project:**

**Location with address:**

**Date of Visit by Chartered Engineer:**

**Project Progress (If project has multiple locations, the location wise details should be submitted in below format for each location)**

Sl. No.	Name of Component	Proposed/ appraised Quantity	Proposed/ appraised Cost (Lakh Rs)	Actual Quantity	Actual Cost (Lakh Rs)		Supplier/ Manufacturer	Status of implementation	Comments on quality, specifications, etc.
					Basic Cost	Taxes, Freight, installation, insurance			
	Component -1							Such as: <ul style="list-style-type: none"><li>•Ordered</li><li>•Received at site</li><li>•Installation in progress</li><li>•Installed</li><li>•Commissioned</li></ul>	
	Component -2								
	Component -3								
	<b>TOTAL</b>								

**It is certified that all the plant and machinery for which grant has been approved are new.**

**Signature and Seal of C.E.**

Counter signature of promoter/ authorized signatory of company with Seal

**[Refer Appendix-I, Para (3) Sub Para (a) v, Sub Para (b) iv & Sub Para (c) iv]**

**(Letter Head of the Bank)**

**Certificate**

1. Certified that this bank has appraised the project of M/s.....(Name and Address of the project) for grant as per scheme guidelines of the Ministry of Food Processing Industries and also sanctioned term Loan of Rs. ....lakh.
2. It is further certified that we have released Rs. ....lakh (----% of sanctioned term loan) and Rs.....lakh (1<sup>st</sup>/ 2<sup>nd</sup> Installment of grant-in-aid) to M/s ..... (Name and Address of the project).
3. We have no objection in releasing 1<sup>st</sup>/ 2<sup>nd</sup> / 3<sup>rd</sup> (delete whichever not applicable) installment of grant.

Signature with seal  
(Name of authorised signatory)  
(Branch Name)

Counter Signature of promoter/ Authorized  
Signatory of company with seal

**Utilization Certificate**  
**[Refer Appendix-I, Para (3) Sub Para (b) vi & Sub Para (c) vi]**

**PROFORMA AS PER GFR 19-A**  
**(See General Financial Rule 212 (1))**

<b>S. No.</b>	<b>Letter No. &amp; Date</b>	<b>Amount</b>
1.		

Certified that out of Rs. \_\_\_\_\_ of grant-in-aid sanctioned during the year \_\_\_\_\_ in favour of \_\_\_\_\_ under this Ministry/ Department letter No. given in the margin and Rs. \_\_\_\_\_ on account of unspent balance of the previous year, a sum of Rs. \_\_\_\_\_ has been utilized for the purpose of \_\_\_\_\_ for which it was sanctioned, that the balance of Rs. \_\_\_\_\_ remaining un-utilized at the end of the year \_\_\_\_ has been surrendered to Government (vide No. \_\_\_\_ dated \_\_\_\_ ) / will be adjusted towards the grants-in-aid payable during the next year \_\_\_\_\_.

2. Certified that I have satisfied myself that conditions on which the grant-in-aid was sanctioned have been duly fulfilled/ are being fulfilled and that I have exercised the following checks to see that the money was actually utilized for the purpose for which it was sanctioned.

Kinds of checks exercised:

- 1.
- 2.
- 3.

Signature of Promoter/ Authorized signatory of Company with seal \_\_\_\_\_

Date \_\_\_\_\_

Counter signature of  
Chartered Accountant  
with CA Registration  
No.